

# Little Rock Trade and Investment Ltd.

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CIN: L67120WB1981PLC033628

**The President**  
**Kolkata Stock Exchange Ltd**  
7- Lyons Range  
Kolkata – 700001

Date: July 10, 2017,

|     |                                   |
|-----|-----------------------------------|
| Ref | CSE Scrip Code = 22055 & 10022055 |
|-----|-----------------------------------|

**Sub:** Corporate Governance Report under Regulation 27(2) of SEBI (Listing obligations & Disclosure Requirements) Regulations 2015 for Quarter ended on June 30, 2017.

Dear Sir/Madam,

Pursuant to the requirement of Regulation 27(2) of SEBI (Listing Obligations & Disclosure Requirements) Regulations 2015, read with other applicable provisions if any, please find enclosed herewith following Compliance Report(s) on Corporate Governance for the Quarter ended on June 30, 2017 (**Annexure-I**).

Please acknowledge receipt.  
For Little Rock Trade & Investment Ltd.

Thank you,  
Deepa  
Director/Authorised Signatory

Yours faithfully

For Little Rock Trade & Investment Ltd.

Deepa Nanda  
(Director)

SP PATEL NAGAR EAST <110008>  
SEDL No: 07A0A8N0037P127  
ED3672357801N  
Counter No:1, OP-Code:DKY  
To: K S EXCHANGE,  
KOLKATA, PIN:700001  
From: LITTLE ROCK TRADE, DELHI-8  
Wt:40grams, 11/07/2017, 11:40  
Amt:41.00  
, GST @9% 3, UGST @9%: 3.00  
<Track on www.indiapost.gov.in>



Encl:1. Annexure I- Compliance Report on Corporate Governance for Quarter ended on June 30, 2017.

Regd. Office: 11C, Ram Mohan Dutta Road, Ground Floor, Kolkata-700020

## Compliance Report on Corporate Governance under Regulation 27(2) of SEBI( Listing Obligations &amp; Disclosure Requirements) Regulations 2015

1. Name of Listed Entity: **Little Rock Trade and Investment Ltd.**  
 2. Quarter ending: **June 30, 2017**

| I. Composition of Board of Directors |                      |                                  |   |   |           |  |  |
|--------------------------------------|----------------------|----------------------------------|---|---|-----------|--|--|
| Title (Mr. / Ms)                     | Name of the Director | PAN & DIN                        | Category (Chairperson/Executive/Non-Executive/Independent/Nominee) <sup>8</sup> | Date of Appointment in the current term/cessation | Tenure    | No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations) | No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations) |
| Ms.                                  | Deepa Nanda          | PAN: ALEPN8112H<br>DIN: 06535258 | Whole Time Director   | 24-03-2015*                                       | N/A       | 1  | 3  |
| Mr.                                  | Sankar Barik         | PAN: BHZPB6228A<br>DIN: 05215355 | Independent Director  | 01/04/2013  | 51 Months | 1  | 3  |
| Ms.                                  | Rajni                | PAN: BANPR3432B<br>DIN: 06676224 | Executive Director  | 24-03-2015**                                      | N/A       | 1  | 2  |

\* Ms. Deepa Nanda was originally appointed as Non-Executive Director on 14/05/2013 and appointed as Whole Time Director w.e.f 24/03/2015.  
 \*\* Ms. Rajni was originally appointed as Non-Executive Director on 14/08/2013 and appointed as Executive Director w.e.f 24/03/2015

| II. Composition of Committees                       |   |  |
|---|---|--|
| Name of Committee                                   | Name of Committee members   | Category (Chairperson/Executive/Non-Executive/Independent/Nominee) |
| 1. Audit Committee                                  | 1. Ms. Rajni<br>2. Ms. Deepa Nanda<br>3. Mr. Sankar Barik (Chairman of committee) | Executive<br>Executive<br>Independent                              |
| 2. Nomination & Remuneration Committee              | 1. Ms. Rajni<br>2. Ms. Deepa Nanda<br>3. Mr. Sankar Barik (Chairman of committee) | Executive<br>Executive<br>Independent                              |
| 3. Risk Management Committee(if applicable)         | NA  |  |
| 4. Stakeholders Relationship Committee <sup>7</sup> | 1. Ms. Deepa Nanda<br>2. Mr. Shankar Barik (Chairman of the committee)            | Executive<br>Independent   |

| III. Meeting of Board of Directors                  |   |   |
|---|---|---|
| Date(s) of Meeting (if any) in the previous quarter | Date(s) of Meeting (if any) in the relevant quarter | Maximum gap between any two consecutive (in number of days) |
| 10/02/2017  | 01-04-2017 & 30.05.2017                             | 58 days   |

| IV. Meeting of Committees                                   |   |   |  |
|---|---|---|--|
| Date(s) of meeting of the committee in the relevant quarter | Whether requirement of Quorum met (details)               | Date(s) of meeting of the committee in the previous quarter | Maximum gap between any two consecutive meetings in number of days |
| 30/05/2017  | Yes, Audit Committee, all members were present at meeting | 10/02/2017  | 108 days   |

| Related Party Transactions   |         | Compliance status (Yes/No/NA) |
|--|---------|-------------------------------|
| Whether prior approval of audit committee obtained   | Subject | NA                            |
| Whether shareholder approval obtained for material RPT   |         | NA                            |
| Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee |         | NA                            |

## VI. Affirmations

- The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
- The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
  - Audit Committee
  - Nomination & remuneration committee
  - Stakeholders relationship committee
  - Risk management committee (applicable to the top 100 listed entities)
- The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
- The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
- This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here:

For Little Rock Trade & Investment Ltd  
 For Little Rock Trade & Investment Ltd

Deepa Nanda  
 Director/Authorised Signatory

Date: 10-07-2017  
 Place: New Delhi